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Education

09/2012 – 05/2017 Harvard Law School, S.J.D.

09/2011 – 05/2017 Harvard Law School, LL.M

09/2005 – 06/2010 National Taiwan University Law School, LL.M

09/2001 – 06/2005 National Taiwan University Law School, LL.B

Publications

Books

1. Corporate Governance and Corporate Social Responsibility: The Protection of Shareholders, Creditors, Employees, and Investors in Mergers and Acquisitions (公司治理與公司社會責任——企業併購下股東、債權人、員工、投資人之保護) (Angle Publisher, 2011).

Journal Publications

English

1. Should The Proud Dragon Repent? A Relative Theory for China's State Capitalist Banking Sector Based on East Asia's Experience, 43(2) HASTINGS INTERNATIONAL AND COMPARATIVE LAW REVIEW 259 (2020).
2. The Cloud for Dragons and the Wind for Tigers: Bank Governance Reform in China and an Executive-Based Proposal, 24(2) STANFORD JOURNAL OF LAW, BUSINESS AND FINANCE 47 (2019).
3. Crouching Tigers and Hidden Dragons on the Great Wall Street: Decoding the Corporate Governance of Chinese Commercial Banks, 28(1) WASHINGTON INTERNATIONAL LAW JOURNAL 1 (2019).
4. Government Ownership of Banks: A Curse or a Blessing for the United States?, 10(3) WILLIAM & MARY BUSINESS LAW REVIEW 667 (2019).
5. RegTech and the New Era of Financial Regulators: Envisaging More Public-Private-Partnership Models of Financial Regulators, 21(2) UNIVERSITY OF PENNSYLVANIA JOURNAL OF BUSINESS LAW 354 (2018) (co-authored with Cheng-Yun Tsang, first author).
6. The Evolving Prudential Exceptions in Regional Trade Agreements, 13(2) ASIAN JOURNAL OF WTO & INTERNATIONAL HEALTH LAW AND POLICY 395 (2018).
7. State Capitalism, State-Owned Banks, and WTO's Subsidy Regime: Proposing an

- Institution Theory, 54(2) STANFORD JOURNAL OF INTERNATIONAL LAW 117 (2018) (co-authored with Pin-Hsien Lee, first author).
8. Haste Makes Waste: WTO, Pilot Free Trade Zones and Financial Experiments, 34(4) WISCONSIN INTERNATIONAL LAW JOURNAL 854 (2017).
 9. Is Moderation the Highest Virtue? A Comparative Study of a Middle Way of Control Transaction Regimes, 41(2) DELAWARE JOURNAL OF CORPORATE LAW 393 (2017) (co-authored with Pin-Hsien (Peggy) Lee).
 10. Opening the Door for Arbitration: Visiting the Arbitral Proceedings of a BOT Dispute in Taiwan When Faced with Preliminary Issues of Administrative Dispositions Disputes, 3(1) CONTEMPORARY ASIA ARBITRATION JOURNAL 123 (2010).
 11. Taiwan, 622(1) THE ANNALS OF THE AMERICAN ACADEMY OF POLITICAL AND SOCIAL SCIENCE 301 (2009) (co-authored with Kuan-Ling Shen).
 12. Both a Moral Virtue and a Legal Duty: Revisiting the Duty of Collaboration Rule in WTO Dispute Settlement System, 1(2) CONTEMPORARY ASIA ARBITRATION JOURNAL 357 (2008).

Chinese

1. The Challenges to Financial Regulation in the FinTech Era: Envisaging the Regulatory Structure for Crypto-Asset Trading Platforms (金融科技時代下的金融監管挑戰——論虛擬通貨交易平台的監管架構), 49(S) NATIONAL TAIWAN UNIVERSITY LAW JOURNAL (國立臺灣大學法學論叢) 1309 (2020).
2. The Prudential Regulation of the Financial Systemic Risks of Taiwan's Shadow Banks: Strengthening the Lender of Last Resort Regime of Central Banks (論我國影子銀行的金融系統性風險審慎監管——以強化中央銀行最後貸款人機制為中心), 27 ACADEMIA SINICA LAW JOURNAL (中研院法學期刊) 141 (2020).
3. The Legal Characterization of Crypto Asset: Focusing on Private Laws and Financial Laws (論虛擬通貨之法律定性——以民事法與金融法為中心), 301 THE TAIWAN LAW REVIEW (月旦法學雜誌) 43 (2020).
4. The Freedom and Limits of Shareholder Agreements: A Comparative Study of U.S. Model Business Corporation Act (論股東協議自由與其限制——以美國模範商業公司法為比較研究對象), 392 TAIWAN LAW JOURNAL (臺灣法學雜誌) 67 (2020).
5. Design the Clearing Laws for Securities Tokens: Focusing on the Central Deposit Requirements for Uncertificated Securities (建構證券型虛擬通貨的交割法制——以無實體證券的集中保管要求為中心), 59 PROPERTY AND ECONOMIC LAW JOURNAL (財產法暨經濟法) 43 (2020).
6. The Investment Advisor Regulations in the Era of Algorithm: The Regulation of Robo-Advisors (演算法時代下的投資顧問監理議題——以理財機器人監理為

- 例), 67 CROSS-STRAIT LAW REVIEW (月旦民商法雜誌) 28 (2020).
7. Comments on the Latest Reform of Law on Mergers and Acquisitions between Financial Institutions by the Financial Supervisory Commission: On the Choice of Financial Regulatory Models (評金管會最新金融整併法制之改革——兼論金融監理模式的選擇), 298 TAIWAN LAW REVIEW (月旦法學雜誌) 113 (2020).
 8. The Corporate Governance of Public Companies, Institutional Investors, and Shareholder Activism (公開發行公司之公司治理、機構投資人與股東行動主義), 2(1) TAIWAN FINANCIAL AND ECONOMIC LAW REVIEW (臺灣財經法學論叢) 385 (2020).
 9. A Narrow Interpretation of the Crime of Illegal Deposit Taking: Comments on the Supreme Court's 106 Tai-Shang No. 3713 Decision (論違法收受存款罪的目的性限縮——評最高法院 106 年度台上字第 3713 號判決), 90 COURT CASE TIMES (裁判時報) 46 (2019).
 10. The Challenges to Securities Regulatory Thinking in the Blockchain Era: Comments on the Latest Regulatory Guidelines for Securities Token Offerings Issued by Taiwan's Financial Supervisory Commission (區塊鏈時代下的證券監管思維挑戰——評金管會最新證券型虛擬通貨監管方案), 48(S) NATIONAL TAIWAN UNIVERSITY LAW JOURNAL (國立臺灣大學法學論叢) 1279 (2019).
 11. The Direction for Reforming the Corporate Governance of Financial Institutions: Focusing on Independent Directors and Nominating Committees (論金融控股公司治理的改革方向——以獨立董事與提名委員會為中心), 48(2) NATIONAL TAIWAN UNIVERSITY LAW JOURNAL (國立臺灣大學法學論叢) 683 (2019).
 12. The Intersection and Dialogue between Financial and Economic Laws and Public Laws: The Opportunity Awarded by the Judicial Yuan's No. 770 Interpretation (財經法與公法的交錯與對話——司法院釋字第 770 號解釋開啟的契機), 80 COURT CASE TIMES (裁判時報) 73 (2019) (co-authored with Chien-Fei (Jeffrey) Li, first author).
 13. The New Company Act and the Past and Future of Corporate Social Responsibility: The Legislative Structure and Court Practices of Taiwan's Corporate Social Responsibility Theory (新公司法與企業社會責任的過去與未來——我國法下企業社會責任理論的立法架構與法院實務), 18(1) CHUNG CHENG FINANCIAL AND ECONOMIC LAW REVIEW (中正財經法學) 43 (2019).
 14. Initial Coin Offerings and Securities Regulation: Focusing on the Definition of "Securities" under Taiwan's Securities Exchange Act (首次代幣發行與證券監理法制——以臺灣證券交易法下「證券」的定義為中心), 43 FINANCIAL AND ECONOMIC LAW REVIEW (月旦財經法雜誌) 135 (2018).
 15. Analyzing the Impact of the Company Act Amendments on Shareholder Activism (評析公司法修正對股東行動主義的影響), 76 COURT CASE TIMES (裁判時報)

63 (2018).

16. Government Ownership after the Partial Privatization of State-Owned Enterprises: The Corporate Governance Role of Government Shareholders in Privatized Enterprises (公營事業部分民營化後公股股權之管理——論政府股東於民營化事業的公司治理角色), 51 PROPERTY AND ECONOMIC LAW REVIEW (財產法暨經濟法) 113 (2018).
17. Rethinking the Regulations of the Continuance of Employment in Business Mergers and Acquisitions: Comments on the Latest Draft Amendment to Taiwan Labor Standard Act (重省企業併購時商定留用權之規範—兼評台灣勞動基準法最新修正草案), 32 CROSS-STRAIT LAW REVIEW (月旦民商法雜誌) 107 (2011).

Papers in Collections

English

1. Envisaging an East Asian Model of Corporate Governance: A Developmental State Perspective, in LEGAL THOUGHTS BETWEEN THE EAST AND THE WEST IN THE MULTILEVEL LEGAL ORDER: A LIBER AMICORUM IN HONOUR OF PROFESSOR HERBERT HAN-PAO MA 445 (Chang-Fa Lo et al. eds., 2016, Springer Publisher).
2. Reflection on the Prior Negotiation Exemption of Health-related Compulsory License under WTO: Started from Taiwan Tamiflu Compulsory License, in SCIENTIFIC EVIDENCE IN INTERNATIONAL AND EUROPEAN LAW: PROCEEDINGS OF THE FIRST YOUNG RESEARCHERS WORKSHOP ON SCIENCE AND LAW 160 (Maria Chiara Malaguti et al eds., 2010, ARGO Publisher.)

Chinese

1. Securities Regulation and the Development of Institutional Investors: Focusing on the Regulation of Private Funds (論證券監理法制與機構投資人之發展——以私募基金監理為中心), in THE TREND OF FINANCIAL AND ECONOMIC LAW IN THE 21ST CENTURY: A LIBER AMICORUM IN HONOUR OF PROFESSOR KUO-CHUAN LIN (二十一世紀財經法潮流—林國全教授榮退祝賀論文集) 337 (2020).
2. The Tort Liability, Statutory Liability, or Social Responsibility of the Company and Its Responsible Persons? Comments on Taiwan Supreme Court Tai-Shang 4345 Decision (1984) (公司與公司負責人之侵權責任? 法定責任? 或社會責任? ——評最高法院七十三年台上字第四三四五號判決), in COLLECTIONS OF RESEARCH ON JUDICIAL DECISIONS (13): COLLECTIONS OF 2008 LIMO LEGAL THESIS AWARD (判解研究彙編(十三): 李模務實法學基金會九十七年第十三屆法學論文徵選得獎作品集) 143 (2009).
3. Rethinking the Fundamental Theory of Insider Trading in Taiwan: Comments on Taiwan Supreme Court Tai-Shang 3037 Decision (2002) (重新省思我國內線交易之基本理論——評最高法院九十一年台上字第三〇三七號判決), in COLLECTIONS OF RESEARCH ON JUDICIAL DECISIONS (12): COLLECTIONS OF 2007

- LIMO LEGAL THESIS AWARD (判解研究彙編(十二): 李模務實法學基金會九十六年第十二屆法學論文徵選得獎作品集) 73 (2008) (co-authored with Chih-Chung Huang).
4. Research on the Practicability to Introduce the Precautionary Principle into WTO Compulsory License System: Taking the Prior Negotiation Exemption as Its Touchstone (於世界貿易組織強制授權制度下引入預防原則之可行性研究—以先行協商義務豁免規定為試金石), in 2007 NATIONAL TECHNOLOGY AND LAW CONFERENCE THESIS COLLECTION (2007 年全國科技法律研討會論文集) 477 (Shang-Jyh Liu ed., 2007).
 5. Research on Civil Jurisdiction of Torts in Cyberspace: Comments on Taiwan Supreme Court Tai-Kan 369 Precedent (1967) (網路侵權行為之民事管轄權研究——評釋最高法院五十六年台抗字第三六九號判例), in COLLECTIONS OF RESEARCH ON JUDICIAL DECISIONS (11): COLLECTIONS OF 2006 LIMO LEGAL THESIS AWARD (判解研究彙編(十一): 李模務實法學基金會九十五年第十一屆法學論文徵選得獎作品集) 115 (2007).

Experience

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|--------------------|---|
| 06/2012 – present, | <u>Senior Research Fellow</u> , National Taiwan University Law School, Asian Center for WTO & International Health Law and Policy. |
| 09/2007 – present, | <u>Academic Advisor</u> , National Taiwan University, John H. Jackson Moot Court Competition Team. |
| 08/2017 – present, | <u>Reviewer</u> , Asian Journal of WTO & International Health Law and Policy, Chinese Journal of Comparative Law, National Taiwan University Law Review, etc. |
| 06/2020 – present, | <u>Advisor</u> , Taiwan's Stock Exchange, The Advisory Committee for the Stewardship Code of Institutional Investors. |
| 04/2020 – present, | <u>Chair</u> , The Life Insurance Association of the Republic of China, The Insurance Businesspersons Appeal Committee. |
| 02/2019 – present, | <u>Advisor</u> , American Society of Comparative Law Younger Comparativists Committee, Linkages and Engagement Advisory Group. |
| 12/2017 – present, | <u>Vice Chair</u> (since 04/2019) & <u>Advisor</u> , Chinese Taipei Consumer Foundation, The Finance and Insurance Advisory Committee. |
| 11/2010 – 06/2011, | <u>Asian Attorney</u> , Jones Day (Taipei). |
| 12/2009 – 10/2010, | <u>Judicial Serviceman</u> , Judicial Yuan. |
| 10/2009 – 11/2009, | <u>Asian Attorney</u> (since 03/2009) & <u>Intern</u> , Jones Day (Taipei). |

Research Projects

- 2020/09/01-2021/06/30 Principal Investigator, Taipei Foreign Exchange Market Development Foundation (財團法人臺北外匯市場發展基金會), The Comparative Advantages of and Regulatory Recommendations for Taiwan's Current Financial Holding Company Regime versus European Universal Bank Regime (我國現行美系金融控股(Financial Holding Company)與歐系全能銀行(Universal Bank)的制度與優勢比較及監理建議)
- 2020/01/01-2021/12/31 Principal Investigator, National Taiwan University(國立臺灣大學), The Securities Regulations in the Era of Blockchain: Focusing on the Regulation of Crypto Asset (區塊鏈時代下的證券監管法制:以虛擬通貨監管為中心)
- 2019/08/01-2021/07/31 Principal Investigator, Ministry of Science and Technology of Republic of China (科技部), Revisiting Taiwan's Policy of Separation between Industry and Finance: Focusing on the Laws on Related-Party Credits (我國產金分離政策之檢討——以關係人授信法制為核心)
- 2020/09/01-2020/12/31 Co-Principal Investigator, Executive Yuan of Republic of China (行政院), Proposals for Taiwan to Promote New Models of Economic and Trade Agreements: Responding to the Impact of International Trade and the New Situations in the Post-Pandemic Era (我國推展新模式經貿協定芻議—因應國際經貿衝擊及後疫情時代的新局勢)
- 2020/02/21-2020/10/31 Principal Investigator, Taiwan Depository & Clearing Corporation (臺灣集中保管結算所股份有限公司), The Status Quo and Comparative Regulation of Securities Token Offerings (證券型代幣發行(STO)現況及各國監理情形)
- 2018/05/01-2019/04/30 Principal Investigator, Ministry of Science and Technology of Republic of China (科技部), Financial Regulations of the Systemic Risks of Shadow Banks (影子銀行系統性風險之金融監理法制研究)